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Docket No.: SON-2758  
(PATENT)

2-6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No. 6,743,068 of:  
Tomoo Kosugi et al.

Application No.: 09/823,744

Filed: March 31, 2001

Issued: June 1, 2004

For: DESORPTION PROCESSING FOR FLAT  
PANEL DISPLAY

**PETITION UNDER 37 C.F.R. §1.183**

MS Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby petitions for the waiver of the requirements under 37 C.F.R. §3.81.

This is a petitionable issue because Assignee seeks the correction of U.S. Patent No. 6,743,068 to list thereon the Assignees, Sony Corporation, Sony Electronics Inc., and Candescant Technologies Corporation.

**Statement**

The failure to include the correct Assignee names on the PTOL-85B form was inadvertent.

**Recordation Form Cover Sheet**

A copy of the Recordation Form Cover Sheet bearing the Office Date stamp of October 19, 2001 and a copy of the Assignment are provided along with this petition. The

12/03/2004 CNGUYEN 00000116 180013 6743068  
01 FC:1462 400.00 DA

Recordation Form Cover Sheet shows that the Assignment was submitted for recordation on October 19, 2001 in the above-identified application.

**Notice of Recordation of Assignment Document**

A copy of the Notice of Recordation of Assignment Document mailed on January 8, 2002 is provided along with this petition. The Notice of Recordation of Assignment Document lists as the Assignees Sony Corporation, having a street address at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan, Sony Electronics Inc., having a street address at 1 Sony Drive, Park Ridge, New Jersey 07656, and Candescent Technologies Corporation, having a street address at 6320 San Ignacio Avenue, San Jose, California 95119. The Notice of Recordation of Assignment Document also shows that an assignment of all rights in the present application to Sony Corporation, Sony Electronics Inc., and Candescent Technologies Corporation was executed by the inventors and recorded on October 19, 2001 by the U.S. Patent and Trademark Office at reel 012272, frame 0301.

**Request for waiver of 37 C.F.R. §3.81**

Upon this petition and in view of the evidence submitted, it is requested that the requirements under 37 C.F.R. §3.81 be waived to permit listing on U.S. Patent No. 6,743,068 of the correct name of the Assignees, Sony Corporation, Sony Electronics Inc., and Candescent Technologies Corporation.

**Request to forward file to Certificate of Corrections Branch**

A Request For Certificate Of Correction requesting the issuance of a Certificate of Correction in connection with U.S. Patent No. 6,743,068 is provided along with this petition. Upon a grantable petition, please forward the Office file to the Certificate Of Corrections Branch for issuance of the Certificate Of Correction.

**Petition fee**

The Commissioner is authorized to charge the petition fee set forth in 37 C.F.R. §1.17(h) of \$130.00 to Deposit Account No. 18-0013. See 37 C.F.R. §1.183.

If any fee is required or any overpayment made, the Commissioner is hereby authorized to charge the fee or credit the overpayment to Deposit Account # 18-0013.

Dated: December 2, 2004

Respectfully submitted,



By  
Ronald E. Kananen  
Registration No.: 24,104  
RADER, FISHMAN & GRAUER PLLC  
1233 20th Street, N.W.  
Suite 501  
Washington, DC 20036  
(202) 955-3750  
Attorney for Applicant



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



JANUARY 08, 2002

PTAS

THE LAW OFFICES OF MIKIO ISHIMARU  
MIKIO ISHIMARU  
1110 SUNNYVALE-SARATOGA ROAD  
SUITE A!  
SUNNYVALE, CA 94087

Chief Financial Officer and Chief Administrative Officer  
Washington, DC 20231  
www.uspto.gov



\*101924302A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/19/2001

REEL/FRAME: 012272/0301  
NUMBER OF PAGES: 7

BRIEF: DOCUMENT PREVIOUSLY RECORDED AT 012039/0500 CONTAINS AN ERROR IN THE ASSIGNEE SECTION. PLEASE REFER TO THE CORRECT RECEIVING PARTIES INFORMATION ON THIS TWO PAGE RECORDATION FORM COVER SHEET

ASSIGNOR:  
KOSUGI, TOMOO

DOC DATE: 03/29/2001

ASSIGNOR:  
PORTER, JOHN D.

DOC DATE: 03/29/2001

ASSIGNEE:  
SONY CORPORATION  
7-35 KITASHINAGAWA 6-CHOME  
SHINAGAWA-KU  
TOKYO, JAPAN

ASSIGNEE:  
SONY ELECTRONICS INC.  
1 SONY DRIVE  
PARK RIDGE, NEW JERSEY 07656

RECEIVED  
JAN 12 2002  
MIKIO ISHIMARU

012272/0301 PAGE 2

ASSIGNEE:

CANDESCENT TECHNOLOGIES  
CORPORATION  
6320 SAN IGNACIO AVENUE  
SAN JOSE, CALIFORNIA 95119

SERIAL NUMBER: 09823744  
PATENT NUMBER:

FILING DATE: 03/31/2001  
ISSUE DATE:

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

01-04-2002

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-001 (exp. 4/94)



101924302

U.S. Department of Commerce

Patent and Trademark Office

Attorney Docket No. 1014-016

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

KOSUGI, Tomoo  
PORTER, John D.10-19-01  
C

2. Name and address of receiving party(ies):

SONY CORPORATION  
7-35 Kitashinagawa 6-Chome  
Shinagawa-ku  
Tokyo, JapanAdditional name(s) of conveying party(ies)  
attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached?  
☒ Yes ☐ No

3. Nature of Conveyance:

☐ Assignment☐ Merger☐ Change of Name☐ Security Agreement☒ Other DOCUMENT PREVIOUSLY RECORDED AT012039/0500 CONTAINS AN ERROR IN THE ASSIGNEE SECTION. PLEASE REFER TO  
THE CORRECT RECEIVING PARTIES INFORMATION ON THIS TWO PAGE  
RECORDATION FORM COVER SHEET.

DOCUMENT RE-RECORDED TO CORRECT ERROR ON STATED REEL.

Execution Date(s): March 29, 2001 and March 29, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, execution date of the  
application is:

A. Patent Application No(s).

Serial No.: 09/823,744 Date Filed: 3/31/01

B. Patent No(s).

Additional numbers attached? NO5. Name and address of party to whom  
correspondence concerning document  
should be mailed:Mikio Ishimaru  
The Law Offices of Mikio Ishimaru  
1110 Sunnyvale-Saratoga Road  
Suite A1  
Sunnyvale, CA 940876. Total number of applications and patents  
involved: 17. Total Fee (37 CFR 3.41): ... \$40.00☐ Enclosed☒ Authorization to be charged to deposit  
account8. Deposit Account Number: 50-0374

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.*Mikio Ishimaru  
Name of Person SigningMikio Ishimaru  
SignatureOctober 16, 2001  
DateTotal number of pages including cover sheet, attachments, and document: 11

Do not detach this portion

Mail document to be recorded with required cover sheet information to:

**Commissioner of Patents and Trademarks  
Box Assignment  
Washington, D.C. 20231**

**Attachment to Form PTO – 1595  
Recordation Form Cover Sheet  
Attorney Docket No. 1014-016**

**ADDENDUM**

2. Name and address of additional receiving party(ies):

**SONY ELECTRONICS INC.  
1 Sony Drive  
Park Ridge, New Jersey 07656**

**CANDESCENT TECHNOLOGIES CORPORATION  
6320 San Ignacio Avenue  
San Jose, CA 95119**

CORDATION FORM COVER  
PATENTS ONLY

04-19-2001



101676799

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

KOSUGI, Tomoo  
PORTER, John D.

2. Name and address of receiving party(ies):

SONY CORPORATION  
7-35 Kitashinagawa 6-Chome  
Shinagawa-ku  
Tokyo, JapanAdditional name(s) of conveying party(ies)  
attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached?  
☒ Yes ☐ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Change of Name☐ Security Agreement☐ OtherExecution Date(s): March 29, 2001 and March 29, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, execution date of the  
application is: March 29, 2001

A. Patent Application No(s).

Serial No.:

Date Filed:

B. Patent No(s).

09823749

Additional numbers attached? NO5. Name and address of party to whom  
correspondence concerning document  
should be mailed:Mikio Ishimaru  
The Law Offices of Mikio Ishimaru  
1110 Sunnyvale-Saratoga Road  
Suite A1  
Sunnyvale, CA 940876. Total number of applications and patents  
involved: 17. Total Fee (37 CFR 3.41): ... \$40.00☐ Enclosed☒ Authorization to be charged to deposit  
account8. Deposit Account Number: 50-0374

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.*Mikio Ishimaru  
Name of Person SigningMikio Ishimaru  
SignatureMarch 31, 2001  
DateTotal number of pages including cover sheet, attachments, and document: 4

Do not detach this portion

Mail document to be recorded with required cover sheet information to:

04/18/2001 T DIAZ1

00000160 500374

09823749

01 FC:581

40.00 CH

Commissioner of Patents and Trademarks  
Box Assignment  
Washington, D.C. 20231

(From PTO-1595-Recordation Form Cover Sheet - page 1 of 2)

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e  
e  
eJ1040 U.S. PTO  
09/823744



**ADDENDUM**

2. Name and address of additional receiving party(ies):

**SONY ELECTRONICS INC.  
1 Sony Drive  
Park Ridge, New Jersey 07656**

**CANDESCENT TECHNOLOGIES CORPORATION  
6320 San Ignacio Avenue  
San Jose, CA 95119**

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we, Tomoo Kosugi of Sony Electronics Inc. and John D. Porter of Candescent Technologies Corporation, hereby sell, assign and transfer to Sony Corporation, a Japanese corporation with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo, Japan, Sony Electronics Inc., a Delaware corporation with offices at 1 Sony Drive, Park Ridge, New Jersey 0-7656, and Candescent Technologies Corporation, a California corporation, having a place of business at 6320 San Ignacio Avenue, San Jose, California 95119, its successors and assigns the entire right, title and interest throughout the world in our invention in:

DESORPTION PROCESSING FOR FLAT PANEL DISPLAY

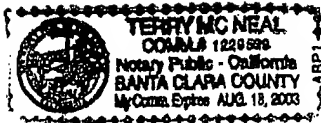
for which we have executed a United States patent application, filed herewith, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named Assignees to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said Assignees, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named Assignees, its successors and assigns.

Executed this 29 day of March, 2001.

T. Kosugi

State of California)  
 ) ss.  
 County of Santa Clara)

On March 29, 2001 before me, TERRY McNeal, Notary Public, personally appeared Tomoo Kosugi ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

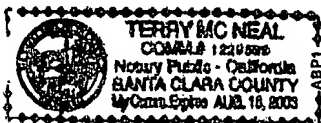
Terry McNeal  
SIGNATURE OF NOTARY

Executed this 29<sup>th</sup> day of March, 2001.

Jack Porter  
2001/03/29.

State of California )  
County of Santa Clara ) ss.

On MARCH 29, 2001 before me, Terry McNeal, Notary Public, personally appeared John D. Porter ~~personally known to me or~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Terry McNeal  
SIGNATURE OF NOTARY



Docket No.: SON-2758  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re U.S. Patent No. 6,743,068 of:  
Tomoo Kosugi et al.

Application No.: 09/823,744

Filed: March 31, 2001

Issued: June 1, 2004

For: DESORPTION PROCESSING FOR FLAT  
PANEL DISPLAY

**REQUEST FOR CERTIFICATE OF CORRECTION**

MS Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It is respectfully requested that a Certificate of Correction be issued in connection with the above-identified patent.

The error noted is a mistake of a typographical nature and was made by the attorneys for the Applicant. The mistake was made in good faith and correction thereof would not constitute new matter or require reexamination.

It is believed that the error warrants the granting of a Certificate of Correction since it refers to the Assignees of the patent. Accordingly, two copies of the Certificate of Correction are attached hereto.

The Commissioner is authorized to charge the fee set forth in 37 C.F.R. §1.20(a) of \$100.00 to Deposit Account No. 18-0013.

If any fee is required or any overpayment made, the Commissioner is hereby authorized to charge the fee or credit the overpayment to Deposit Account # 18-0013.

Dated: December 2, 2004

Respectfully submitted,

By 

Ronald P. Kananen

Registration No.: 24,104

RADER, FISHMAN & GRAUER PLLC

1233 20th Street, N.W.

Suite 501

Washington, DC 20036

(202) 955-3750

Attorney for Applicant

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

PATENT NO. : 6,743,068

DATED : June 1, 2004

INVENTOR(S) : Tomoo Kosugi et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page

Item [73], should read -- [73] Assignees: **Sony Corporation** (Tokyo, JP); **Sony Electronics Inc.**, Park Ridge, NJ (US); **Candescent Technologies Corporation**, San Jose, CA (US) --.

MAILING ADDRESS OF SENDER:

Customer No. 23353  
**Rader, Fishman & Grauer PLLC**  
1233 20<sup>th</sup> Street, NW  
Suite 501  
Washington, DC 20036

PATENT NO. 6,743,068

Our Ref: SON-2758  
FORM PTO 1050 (REV. 3-82)